

***Effective September 1, 2009***

<b>SECURITIES REGISTRATION, NOTICE FILING, AND EXEMPTION FEES</b>	
<b>Action</b>	<b>Fee<sup>+</sup></b>
Filing an original, amended, or renewal application to sell or dispose of securities	\$100 Act Section 35.A(1) <sup>1</sup>
Examination of an original or amended application filed under Section 7.A, 7.B, or 7.C	1/10 of 1% of the aggregate amount of securities described and proposed to be sold to persons located within this state based upon the price at which such securities are to be offered to the public Act Section 35.B(2)
A notice filing for federal covered securities	Varies Act Sections 35.A(1) <sup>1</sup> , 35.B(2), 35.B(7), and/or 35.B(6); Board Rule 114.4
Filing of an initial notice required by the Commissioner to claim a limited offering exemption	1/10 of 1% of the aggregate amount of securities described as being offered for sale, but in no case more than \$500 Act Section 35.B(7); Board Rule 109.13
Filing an initial notice required by the Commissioner to claim a secondary trading exemption	\$500 Act Section 35.B(6)
Filing a secondary trading exemption renewal notice	\$500 Act Section 35.B(6)
<sup>+</sup> <i>Checks should be made payable to the "State Securities Board."</i>	

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<sup>1</sup> This fee was set by the Board at its July 24, 2009 meeting so that effective September 1, 2009, this fee was increased by \$60. Previously, at its June 24, 2003 meeting, the Board had increased this fee by \$30. These fee increases were not mandated by the Texas Legislature. This notification is made pursuant to the General Appropriations Act, Article IX, Section 6.16.