

DENISE VOICT CRAWFORD
SECURITIES COMMISSIONER



JOSE ADAN TREVINO
CHAIRMAN

JOHN R. MORGAN
DEPUTY SECURITIES COMMISSIONER

State Securities Board

NICHOLAS C. TAYLOR
MEMBER

MAIL: P.O. BOX 13167
AUSTIN, TEXAS 78711-3167

208 E. 10th Street, 5th Floor
Austin, Texas 78701
Phone (512) 305-8300
FAX (512) 305-8310
<http://www.ssb.state.tx.us>

KENNETH W. ANDERSON, JR.
MEMBER

SSB Docket No. 01-23

IN THE MATTER OF THE APPLICATION
FOR INVESTMENT ADVISER REGISTRATION
OF MENTOR FINANCIAL ADVISORY
GROUP, LTD. AND THE AGENT REGISTRATION
OF TANKHOA NGO COLIGADO

§
§
§
§
§

Order No. CAF-1436

TO: Tankhoa Ngo Coligado, President
Mentor Financial Advisory Group, LTD.
6510 Connie Lane
Colleyville, TX 76034

Tankhoa Ngo Coligado (CRD# 2026078)
Mentor Financial Advisory Group, LTD.
6510 Connie Lane
Colleyville, TX 76034

DISCIPLINARY ORDER REPRIMANDING AN INVESTMENT ADVISER

Be it remembered that Mentor Financial Advisory Group, LTD. ("Respondent MFAG") by and through its President, Tankhoa Ngo Coligado, and Tankhoa Ngo Coligado ("Respondent Coligado"), individually, appeared before the Securities Commissioner of the State of Texas ("Securities Commissioner"), and consented to the entry of this Order without admitting or denying the Findings of Fact and Conclusions of Law contained herein.

FINDINGS OF FACT

1. Respondents have waived (a) Respondents' right to appear and present evidence in this matter; (b) Respondents' right to appeal this Order; and (c) all other procedural rights granted to Respondents by The Securities Act, TEX. REV. CIV. STAT. ANN. art. 581-1 et seq. (Vernon 1964 & Supp. 2001) ("Texas Securities Act"), and the Administrative Procedure Act, TEX. GOV'T CODE ANN. § 2001.001 et seq. (Vernon Supp. 2001) ("Administrative Procedure Act").
2. Respondent MFAG is an Illinois corporation located at 6510 Connie Lane,

Colleyville, TX. Respondent Coligado is President and sole-owner of Respondent MFAG.

3. Respondent MFAG and Respondent Coligado have filed applications for registration with the Securities Commissioner as an investment adviser and agent respectively.
4. Respondent MFAG has acted as an investment adviser in Texas without being registered with the Securities Commissioner as required by Section 12 of the Texas Securities Act.
5. Respondent Coligado has acted as an agent of Respondent MFAG in Texas without being registered with the Securities Commissioner as required by Section 12 of the Texas Securities Act.

CONCLUSIONS OF LAW

1. Pursuant to Section 14.A(6) of the Texas Securities Act, the foregoing violations constitute a bases for the issuance of an order reprimanding an investment adviser and an agent.
2. Pursuant to Section 23-1 of the Texas Securities Act, the foregoing violations constitute a bases for the issuance of an order assessing an administrative fine against an investment adviser and an agent.

ORDER

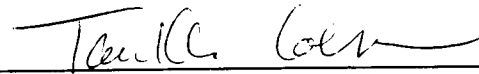
1. It is therefore ORDERED that the registration of Mentor Financial Advisory Group, LTD. as an investment adviser and Tankhoa Ngo Coligado as an agent of Mentor Financial Advisory Group, LTD. are hereby GRANTED.
2. It is further ORDERED that Respondent MFAG and Respondent Coligado are hereby REPRIMANDED.
3. It is further ORDERED that Respondent MFAG is hereby assessed AN ADMINISTRATIVE FINE in the amount of Five Hundred Dollars (\$500.00). Payment shall be made by delivery of a cashier's check to the Securities Commissioner in the amount of Five Hundred Dollars (\$500.00), payable to the State of Texas, contemporaneously with the delivery of this order.

SIGNED AND ENTERED BY THE SECURITIES COMMISSIONER this 8th day
of August, 2001.



DENISE VOIGT CRAWFORD
Securities Commissioner

Respondents:

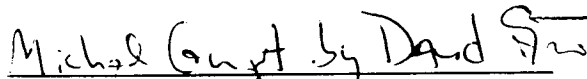


Mentor Financial Advisory Group, LTD.
Tankhoa Ngo Coligado, President



Tankhoa Ngo Coligado, Individually

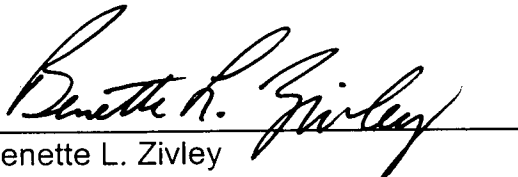
Approved as to Form:



Michael S. Gunst, Director
Inspection and Compliance Division



David Grauer, Director
Enforcement Division

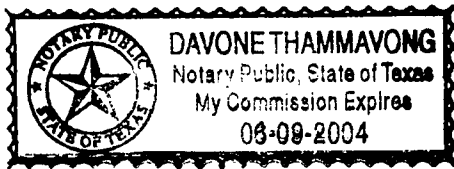


Benette L. Zivley
Attorney, Enforcement Division

ACKNOWLEDGMENT

On the 7th day of August, 2001, Mentor Financial Advisory Group, LTD. ("Respondent MFAG"), by and through Tankhoa Ngo Coligado, its President and owner, personally appeared before me, executed the foregoing Order, and acknowledged that:

1. Tankhoa Ngo Coligado is duly authorized to enter into the foregoing Order on behalf of Respondent MFAG;
2. Tankhoa Ngo Coligado has read the foregoing Order;
3. Respondent MFAG has been fully advised of its rights under the Texas Securities Act and the Administrative Procedure Act;
4. Respondent MFAG knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact and Conclusions of Law contained herein; and,
5. Respondent MFAG, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived its rights as set forth therein.



{affix notary seal here}

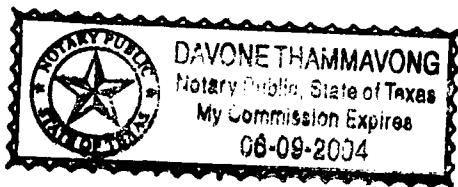
Davone Thammavong
Notary Public in and for
The State of TEXAS

My commission expires on: 08-08-2004

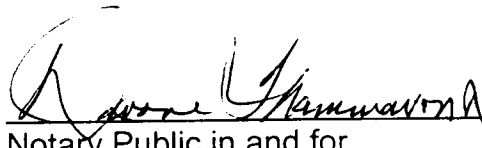
ACKNOWLEDGMENT

On the 2nd day of August, 2001 Tankhoa Ngo Coligado ("Respondent Coligado"), appeared before me executed the foregoing Order, and acknowledged that:

1. Respondent Coligado has read the foregoing Order;
2. Respondent Coligado has been fully advised of his rights under the Texas Securities Act and the Administrative Procedure Act;
3. Respondent Coligado knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact and Conclusions of Law contained herein; and
4. Respondent Coligado, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived his rights as set forth therein.



{affix notary seal here}


Notary Public in and for
The State of TEXAS

My commission expires on: 06-09-2004