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IN THE MATTER OF THE
APPLICATION FOR INVESTMENT
ADVISER REGISTRATION OF HALTER
GLOBAL OPPORTUNITY FUND
MANAGEMENT, LLC

§
§
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§

Order No. REG10-CAF-01

TO: Joseph R. Mannes, Manager
Halter Global Opportunity Fund Management, LLC (CRD No. 151125)
5956 Sherry Lane, Suite 1000 PMB 168
Dallas, TX 75225

DISCIPLINARY ORDER

Be it remembered that Halter Global Opportunity Fund Management, LLC, by and through Joseph R. Mannes, its Manager, ("Respondent"), appeared before the Securities Commissioner of the State of Texas ("Securities Commissioner") and consented to the entry of this order ("Order") and the Findings of Fact and Conclusions of Law contained herein.

FINDINGS OF FACT

1. Respondent has waived (a) Respondent's right to notice and hearing in this matter; (b) Respondent's right to appear and present evidence in this matter; (c) Respondent's right to appeal this Order; and (d) all other procedural rights granted to the Respondent by The Securities Act, TEX. REV. CIV. STAT. ANN. art. 581-1 et seq. (Vernon 1964 & Supp. 2009)("Texas Securities Act"), and the Administrative Procedure Act, TEX. GOV'T CODE ANN. § 2001.001 et seq. (Vernon 2008 & Supp. 2009)("Administrative Procedure Act").
2. On or about August 27, 2009, Respondent submitted an application for registration with the Securities Commissioner as an investment adviser. This application is currently pending.
3. From on or about June 1, 2008 through on or about June 30, 2009, Respondent, for compensation and in Texas, engaged in the business of advising others with respect to the value of securities or to the advisability in, purchasing, or selling securities.

4. Section 12.B of the Texas Securities Act prohibits a person from rendering services as an investment adviser in Texas unless the person is registered under the Texas Securities Act, submits a notice filing as provided by Section 12-1 of the Texas Securities Act, or is otherwise exempt.

UNDERTAKING

1. Respondent undertakes and agrees that Respondent will not collect any management fees accrued, but not paid, for the period from July 1, 2009 to the date that Respondent's registration with the Securities Commissioner is granted.
2. Respondent further undertakes and agrees that Respondent will not collect any performance based fees accrued, but not paid, for the period from January 1, 2009 to the date that Respondent's registration with the Securities Commissioner is granted.

CONCLUSIONS OF LAW

1. Respondent advised others, for compensation, with respect to the value of securities and the advisability of investing in, purchasing, or selling securities, and therefore is an "investment adviser" as the term "investment adviser" is defined by Section 4.N of the Texas Securities Act.
2. Respondent violated Section 12.B of the Texas Securities Act by rendering services as an investment adviser in Texas at a time when Respondent was not registered as an investment adviser with the Securities Commissioner.
3. Pursuant to Section 14.A(6) of the Texas Securities Act, the foregoing violation constitute bases for the issuance of an order reprimanding Respondent.
4. Pursuant to Section 23-1 of the Texas Securities Act, the foregoing violation constitute bases for the issuance of an order assessing an administrative fine against Respondent.

ORDER

1. It is therefore ORDERED that the registration of Halter Global Opportunity Fund Management, LLC with the Securities Commissioner as an investment adviser is hereby GRANTED.
2. It is further ORDERED that Halter Global Opportunity Fund Management, LLC is hereby REPRIMANDED.
3. It is further ORDERED that Halter Global Opportunity Fund Management, LLC is hereby ASSESSED AN ADMINISTRATIVE FINE in the amount of Twelve Thousand Dollars (\$12,000.00). Payment shall be made by delivery of a cashier's check to the Securities Commissioner in the amount of Twelve Thousand Dollars (\$12,000.00), payable to the State of Texas, contemporaneously with the delivery of this Order.
4. It is further ORDERED that Halter Global Opportunity Fund Management, LLC COMPLY with the terms of the Undertaking enclosed herein.

SIGNED AND ENTERED BY THE SECURITIES COMMISSIONER this 12th day
of February, 2010.

Denise Voigt Crawford
DENISE VOIGT CRAWFORD
Securities Commissioner

Respondent:

Halter Global Opportunity Fund
Management, LLC



By: Joseph R. Mannes
Manager

Approved as to Form:



Patricia A. Louterback
Director
Registration Division

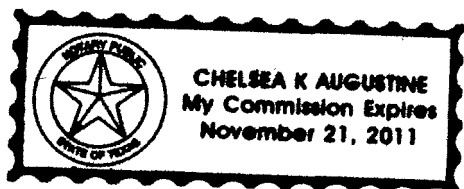


Sheri Palma
Attorney
Registration Division

ACKNOWLEDGMENT

On the 9th day of February, 2010, Halter Global Opportunity Fund Management, LLC ("Respondent"), by and through Joseph R. Mannes, its Manager, personally appeared before me, executed the foregoing Order and Undertaking, and acknowledges that:

1. Joseph R. Mannes is duly authorized to enter into the foregoing Order and Undertaking on behalf of Respondent;
2. Joseph R. Mannes has read the foregoing Order and Undertaking;
3. Respondent has been fully advised of its rights under the Texas Securities Act and the Administrative Procedure Act;
4. Respondent knowingly and voluntarily consents to the entry of the foregoing Order and Undertaking and the Findings of Fact and Conclusions of Law contained therein; and,
5. Respondent, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived its rights as set forth therein.



[affix notary seal here]

Chelsea K Augustine
Notary Public in and for
the State of Texas

My commission expires on: 11/21/2011