

JOHN MORGAN
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Texas State Securities Board

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ALAN WALDROP
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MEMBER

IN THE MATTER OF
STRATA MEDICAL INNOVATIONS, INC.
& MARK ALDANA

§
§
§

Order No. ENF-16-CDO-1747

TO: Strata Medical Innovations, Inc.
3706 76th Street
Lubbock, Texas 79423

Mark Aldana
3706 76th Street
Lubbock, Texas 79423

AGREED CEASE AND DESIST ORDER

Be it remembered that Strata Medical Innovations, Inc. ("Respondent Strata") and Mark Aldana ("Respondent Aldana") (collectively referred to as "Respondents") appeared before the Securities Commissioner of Texas ("Securities Commissioner") and consented to the entry of this Order and the Findings of Fact and Conclusions of Law contained herein.

FINDINGS OF FACT

1. Respondents have waived (a) Respondents' right to notice and a hearing in this matter; (b) Respondents' right to appear and present evidence in this matter; (c) Respondents' right to appeal this order; and (d) all other procedural rights granted to Respondents by The Securities Act, Tex. Rev. Civ. Stat. Ann. arts. 581-1 to 581-44 (West 2010 & Supp. 2016)(the "Texas Securities Act"), and the Administrative Procedure Act, Tex. Gov't Code Ann. §§ 2001.001 to 2001.902 (West 2008 & Supp. 2016)("Administrative Procedure Act").
2. Respondent Strata is a Texas corporation that maintains a last known address at 3706 76th Street, Lubbock, Texas 79423.
3. Respondent Aldana is the president of Respondent Strata and maintains a last known address at 3706 76th Street, Lubbock, Texas 79423.
4. Respondents offered and sold shares of stock in Strata Medical Innovations, Inc. in and from the state of Texas. Prospective investors were solicited by Respondent Aldana and also through internet advertisements.

5. The shares were not registered by qualification, notification, or coordination and no permit has been granted for their sale in Texas.
6. Respondents were not registered with the Securities Commissioner as a dealer, agent, investment adviser or investment adviser representative at any time material hereto.

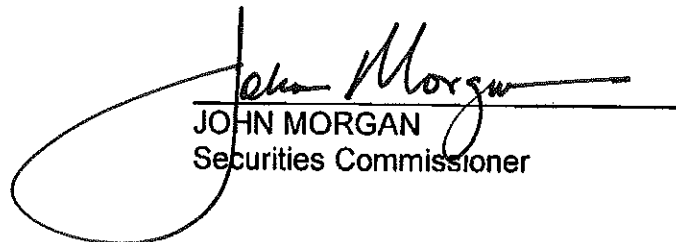
CONCLUSIONS OF LAW

1. The shares of stock are "securities" as that term is defined by Section 4.A of the Texas Securities Act.
2. Respondents violated Section 7 of the Texas Securities Act by offering securities for sale in Texas at a time when the securities were not registered with the Securities Commissioner.
3. Respondents violated Section 12 of the Texas Securities Act by offering securities for sale in Texas through persons who were not registered pursuant to the provisions of Section 12 of the Texas Securities Act.
4. The foregoing violations constitute bases for the issuance of an Agreed Cease and Desist Order pursuant to section 23.A of the Texas Securities Act.

ORDER


1. It is therefore ORDERED that Respondents immediately CEASE AND DESIST from offering for sale any security in Texas until the security is registered with the Securities Commissioner or is offered for sale pursuant to an exemption from registration under the Texas Securities Act.
2. It is further ORDERED that Respondents immediately CEASE AND DESIST from the offer and sale of securities in Texas until Respondents are registered with the Securities Commissioner as dealers or agents or an available exemption from registration is utilized.

SIGNED AND ENTERED by the Securities Commissioner this 19th day of September, 2016.


JOHN MORGAN
Securities Commissioner


Respondent:

Strata Medical Innovations, Inc.:

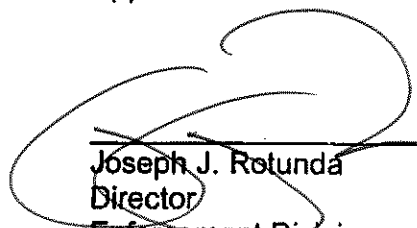

By Mark Aldana, President


Respondent:

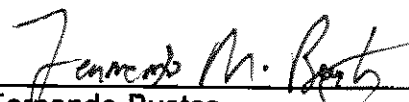
Mark Aldana:


By: Mark Aldana

Approved as to Form:


Joseph J. Rotunda
Director
Enforcement Division


Jennifer K. Irbeck
Enforcement Attorney


Fernando Bustos
Bustos Law Firm, PC
1001 Main Street #501
Lubbock, Texas 79401
Attorney for Respondent

ACKNOWLEDGEMENT

On the 8th day of September, 2016, Strata Medical Innovations, Inc. ("Respondent Strata"), by and through its President, Mark Aldana, personally appeared before me, executed the foregoing Order and acknowledged that:

1. Mark Aldana is authorized to enter into the foregoing Order on behalf of Respondent;
2. Mark Aldana has read the foregoing Order;
3. Respondent Strata has been fully advised of its rights under the Texas Securities Act and the Administrative Procedure Act;
4. Respondent Strata knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact and Conclusions of Law contained therein; and
5. Respondent Strata, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived its rights as set forth herein.



Jan Bufkin
Notary Public in and for
the State of Texas

My commission expires: 09/12/2017

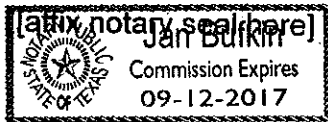
ACKNOWLEDGEMENT

On the 8th day of September, 2016, Mark Aldana ("Respondent Aldana") personally appeared before me, executed the foregoing Order and acknowledged that:

1. Respondent Aldana has read the foregoing Order;
2. Respondent Aldana has been fully advised of his rights under the Texas Securities Act and the Administrative Procedure Act;
3. Respondent Aldana knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact and Conclusions of Law contained therein; and
4. Respondent Aldana, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived his rights as set forth therein.



Notary Public in and for
the State of Texas



My commission expires: 09/12/2017