

FILED

Cause No. CR-12-0338

Bond: 100,000⁰⁰

2012 APR 12 PM 5:16

THE STATE OF TEXAS V. ROBBIE WALKER

OFFENSE: SECURITIES FRAUD, THE SECURITIES ACT
DISTRICT CLERK
Tex. Rev. Civ. Stat. Ann. art. 30b, § 001, TEXAS

IN THE NAME AND BY THE AUTHORITY OF THE STATE OF TEXAS:

THE GRAND JURY, for the County of Hays, State of Texas, duly selected, impaneled, sworn, charged, and organized as such at the January Term, A.D. 2012 of the 22nd Judicial District Court for said County, upon their oaths present in and to said court at said term that

ROBBIE WALKER

hereinafter styled defendant, on or about the dates listed below and before the presentment of this indictment, in the County and State aforesaid, did then and there directly engage in fraud in connection with the sale and offer for sale of securities, to wit: an investment contract, an evidence of indebtedness, and a note, to each of following persons and in the following amounts:

<u>PERSON</u>	<u>DATE</u>	<u>AMOUNT</u>
1 Delores Conn	July 15, 2009	\$100,000.00
2 Delores Conn	November 16, 2009	\$100,000.00
3 Penny Elam	January 4, 2010	\$5,000.00
4 Mabel P. Wilkinson	April 1, 2010	\$30,000.00
5 Mabel P. Wilkinson	June 7, 2010	\$20,000.00

And said defendant committed fraud in connection with the sales and offers for sale of said securities by:

PARAGRAPH ONE

knowingly and intentionally misrepresenting that funds invested with the defendant by investors would be used to fund an oil and gas project, said information being a misrepresentation of a relevant fact; and,

PARAGRAPH TWO

intentionally failing to disclose that funds invested with the defendant by investors for the purpose of funding an oil and gas project, would be used to pay the personal expenses of the defendant, said information being a material fact; and,

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PARAGRAPH THREE

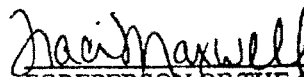
intentionally failing to disclose that funds previously invested by investors with the defendant for the purpose of funding an oil and gas project were used for purposes unrelated to the investment, including the payment of the defendant's personal expenses, said information being a material fact; and,

PARAGRAPH FOUR

by intentionally failing to disclose that on or about July 23, 2007, in Harris County, Texas, a Federal Tax Lien was assessed against Defendant in the amount of \$56,803.45, by the Department of Treasury, Internal Revenue Service, said information being a material fact;

And all of said amounts were obtained pursuant to one scheme and continuing course of conduct, and the aggregate amount that was obtained was \$100,000.00 or more;

AGAINST THE PEACE AND DIGNITY OF THE STATE.



FOREPERSON OF THE GRAND JURY

FILED

Cause No. CR-12-0339

2012 APR 12 PM 5:18

Bond: 100,000⁰⁰

THE STATE OF TEXAS V. ROBBIE WALKER
Beverly Crumley
DISTRICT CLERK

OFFENSE: MONEY LAUNDERING OVER \$200,000, TEXAS 02 (a)(1) and (e)(4)-F1

IN THE NAME AND BY THE AUTHORITY OF THE STATE OF TEXAS:

THE GRAND JURY, for the County of Hays, State of Texas, duly selected, impaneled, sworn, charged, and organized as such at the January Term, A.D. 2012 of the 22nd Judicial District Court for said County, upon their oaths present in and to said court at said term that

ROBBIE WALKER

hereinafter styled Defendant, on or about and between the dates of the 15th day of July, A.D. 2009, and the 7th day of June, A.D. 2010, and before the presentment of this indictment, in the County and State aforesaid, did then and there knowingly acquire an interest in and possess the proceeds of criminal activity, to wit: current money of the United States of America, in the aggregated amount of two hundred thousand dollars (\$200,000.00) or more, and said proceeds were generated from the commission of the offense of Theft in the amount of two hundred thousand dollars (\$200,000.00) or more, a felony offense under the laws of the State of Texas,

AGAINST THE PEACE AND DIGNITY OF THE STATE.

Traci Maxwell
FOREMAN OF THE GRAND JURY

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10,000 - ct I
10,000 - ct II
10,000 - ct III
10,000 - ct IV
10,000 - ct V

FILED

Bond:

2012 APR 12 PM 5:16

Cause No. CR-D-0331

THE STATE OF TEXAS V. ROBBIE WALKER

Beverly Crumley
DISTRICT CLERK
HAYS COUNTY SEALS

OFFENSE: UNREGISTERED SECURITIES, THE
Tex. Rev. Civ. Stat. Ann. art. 581-29.B (FIVE COUNTS)

IN THE NAME AND BY THE AUTHORITY OF THE STATE OF TEXAS:

THE GRAND JURY, for the County of Hays, State of Texas, duly selected, impaneled, sworn, charged, and organized as such at the January Term, A.D. 2012 of the 22nd Judicial District Court for said County, upon their oaths present in and to said court at said term that

COUNT ONE

ROBBIE WALKER, Defendant, on or about the 15th day of July, A.D. 2009, and before the presentment of this indictment, in the County of HAYS, and the State of Texas, did then and there knowingly offer for sale and sell to Dolores Conn an agreement issued by Robbie Walker, said agreement being a security, to wit: an investment contract, an evidence of indebtedness, and a note issued after September 6, 1955, and the said security was not then and there duly registered by and with the Securities Commissioner of the State of Texas and then and there no permit for the sale of said security had been granted by the Securities Commissioner of the State of Texas, as in The Securities Act of the State of Texas provided;

COUNT TWO

ROBBIE WALKER, Defendant, on or about the 16th day of November, A.D. 2009, and before the presentment of this indictment, in the County of HAYS, and the State of Texas, did then and there knowingly offer for sale and sell to Dolores Conn an agreement issued by Robbie Walker, said agreement being a security, to wit: an investment contract, an evidence of indebtedness, and a note issued after September 6, 1955, and the said security was not then and there duly registered by and with the Securities Commissioner of the State of Texas and then an there no permit for the sale of said security had been granted by the Securities Commissioner of the State of Texas, as in The Securities Act of the State of Texas provided;

COUNT THREE

ROBBIE WALKER, Defendant, on or about the 4th day of January, A.D. 2010, and before the presentment of this indictment, in the County of HAYS, and the State of Texas, did then and there knowingly offer for sale and sell to Penny Elam an agreement issued by Robbie Walker, said agreement being a security, to wit: an investment contract,

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an evidence of indebtedness, and a note issued after September 6, 1955, and the said security was not then and there duly registered by and with the Securities Commissioner of the State of Texas and then an there no permit for the sale of said security had been granted by the Securities Commissioner of the State of Texas, as in The Securities Act of the State of Texas provided;

COUNT FOUR

ROBBIE WALKER, Defendant, on or about the 1st day of April, A.D. 2010, and before the presentment of this indictment, in the County of HAYS, and the State of Texas, did then and there knowingly offer for sale and sell to Mabel P. Wilkinson an agreement issued by Robbie Walker, said agreement being a security, to wit: an investment contract, an evidence of indebtedness, and a note issued after September 6, 1955, and the said security was not then and there duly registered by and with the Securities Commissioner of the State of Texas and then an there no permit for the sale of said security had been granted by the Securities Commissioner of the State of Texas, as in The Securities Act of the State of Texas provided;

COUNT FIVE

ROBBIE WALKER, Defendant, on or about the 7th day of June, A.D. 2010, and before the presentment of this indictment, in the County of HAYS, and the State of Texas, did then and there knowingly offer for sale and sell to Mabel P. Wilkinson an agreement issued by Robbie Walker, said agreement being a security, to wit: an investment contract, an evidence of indebtedness, and a note issued after September 6, 1955, and the said security was not then and there duly registered by and with the Securities Commissioner of the State of Texas and then an there no permit for the sale of said security had been granted by the Securities Commissioner of the State of Texas, as in The Securities Act of the State of Texas provided;

AGAINST THE PEACE AND DIGNITY OF THE STATE.


FOREMAN OF THE GRAND JURY

FILED

10,000 - Ct I
10,000 - Ct II
10,000 - Ct III
10,000 - Ct IV
10,000 - Ct V

Cause No. CR-12-0336

2012 APR 12 PM 5:16

Beverly Crowley
DISTRICT CLERK
HAYS COUNTY, TEXAS

THE STATE OF TEXAS V. ROBBIE WALKER

OFFENSE: UNREGISTERED DEALER OR AGENT, THE SECURITIES ACT,
Tex. Rev. Civ. Stat. Ann. art. 581-29.A (FIVE COUNTS)

IN THE NAME AND BY THE AUTHORITY OF THE STATE OF TEXAS:

THE GRAND JURY, for the County of Hays, State of Texas, duly selected, impaneled, sworn, charged, and organized as such at the January Term, A.D. 2012 of the 22nd Judicial District Court for said County, upon their oaths present in and to said court at said term that

COUNT ONE

ROBBIE WALKER, Defendant, on or about the 15th day of July, A.D. 2009, and before the presentment of this indictment, in the County of HAYS, and the State of Texas, did then and there knowingly offer for sale and sell to Dolores Conn an agreement issued by Robbie Walker, said agreement being a security, to wit: an investment contract, an evidence of indebtedness, and a note, and the defendant offered for sale and sold said security without having been duly registered as a securities dealer or agent by and with the Securities Commissioner of the State of Texas, as in The Securities Act of the State of Texas provided;

COUNT TWO

ROBBIE WALKER, Defendant, on or about the 16th day of November, A.D. 2009, and before the presentment of this indictment, in the County of HAYS, and the State of Texas, did then and there knowingly offer for sale and sell to Dolores Conn an agreement issued by Robbie Walker, said agreement being a security, to wit: an investment contract, an evidence of indebtedness, and a note, and the defendant offered for sale and sold said security without having been duly registered as a securities dealer or agent by and with the Securities Commissioner of the State of Texas, as in The Securities Act of the State of Texas provided;

COUNT THREE

ROBBIE WALKER, Defendant, on or about the 4th day of January, A.D. 2010, and before the presentment of this indictment, in the County of HAYS, and the State of Texas, did then and there knowingly offer for sale and sell to Penny Elam an agreement issued by Robbie Walker, said agreement being a security, to wit: an investment contract, an evidence of indebtedness, and a note, and the defendant offered for sale and sold said

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security without having been duly registered as a securities dealer or agent by and with the Securities Commissioner of the State of Texas, as in The Securities Act of the State of Texas provided;

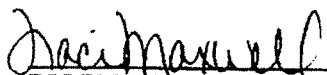
COUNT FOUR

ROBBIE WALKER, Defendant, on or about the 1st day of April, A.D. 2010, and before the presentment of this indictment, in the County of HAYS, and the State of Texas, did then and there knowingly offer for sale and sell to Mabel P. Wilkinson an agreement issued by Robbie Walker, said agreement being a security, to wit: an investment contract, an evidence of indebtedness, and a note, and the defendant offered for sale and sold said security without having been duly registered as a securities dealer or agent by and with the Securities Commissioner of the State of Texas, as in The Securities Act of the State of Texas provided;

COUNT FIVE

ROBBIE WALKER, Defendant, on or about the 7th day of June, A.D. 2010, and before the presentment of this indictment, in the County of HAYS, and the State of Texas, did then and there knowingly offer for sale and sell to Mabel P. Wilkinson an agreement issued by Robbie Walker, said agreement being a security, to wit: an investment contract, an evidence of indebtedness, and a note, and the defendant offered for sale and sold said security without having been duly registered as a securities dealer or agent by and with the Securities Commissioner of the State of Texas, as in The Securities Act of the State of Texas provided;

AGAINST THE PEACE AND DIGNITY OF THE STATE.


FOREMAN OF THE GRAND JURY